AMENDMENT OF SOLICITATI	ON/MODIFICATI	ON OF CONTRAC	T 1. Contract		Page 1 Of 9
2. Amendment/Modification No.	3. Effective Date	4. Requisition/Purchase I		5. Project No. (I	f applicable)
P00003	2003DEC03	SEE SCHEDULE			
6. Issued By	Code W56HZV	7. Administered By (If other	ner than Item 6)	4	Code S1403A
TACOM WARREN BLDG 231		DCMA CHICAGO			
AMSTA-AQ-ADBB PATRICIA ANN BIERI (586)574-8286		1523 WEST CENTRAL BLDG 203	ROAD		
WARREN, MICHIGAN 48397-5000		ARLINGTON HEIGHTS	IL 60004-245	51	
HTTP://CONTRACTING.TACOM.ARMY.MIL EMAIL: BIERIP@TACOM.ARMY.MIL					
EMAIL BIERIFGIACOM.ARMI.MIL		SCD C	PAS NONE	ADP PT	' HQ0339
8. Name And Address Of Contractor (No., Stre	et, City, County, State and	l Zip Code)	9A. Amendme	ent Of Solicitation I	Ňo.
CATERPILLAR INC.			_		
DEFENSE & FEDERAL PRODUCTS 100 N E ADAMS			9B. Dated (See	e Item 11)	
PEORIA, IL. 61602-1324		_	1 104 Modifies	tion Of Contract/C	Andon No
		X	]		order No.
TYPE BUSINESS: Large Business Perfo	rming in U.S.		DAAE07-03-C		
Code 11083 Facility Code			10B. Dated (S	ee Item 13)	
	HIS ITEM ONLY APPLI	ES TO AMENDMENTS O	SOLICITATION	NS	
The above numbered solicitation is amend					
is extended, is not extended.	icu as set for th in feeli 14.	The hour and date specific	u for receipt of O	iicis	
Offers must acknowledge receipt of this ame	ndment prior to the hour :	and date specified in the sol	icitation or as am	ended by one of the	following methods:
(a) By completing items 8 and 15, and return		of the amendments: (b) By a			
offer submitted; or (c) By separate letter or ACKNOWLEDGMENT TO BE RECEIVED	0				
SPECIFIED MAY RESULT IN REJECTIO					
change may be made by telegram or letter, p opening hour and date specified.	orovided each telegram or	letter makes reference to th	e soncitation and	tnis amendment, ar	ia is received prior to the
12. Accounting And Appropriation Data (If recond to Change to Obligation Data	quired)				
NO CHANGE TO OBLIGATION DATA					
13. THIS KIND MOD CODE: C		O MODIFICATIONS OF (act/Order No. As Described		EDERS	
A. This Change Order is Issued Pursual	nt To:		The C	hanges Set Forth I	n Item 14 Are Made In
The Contract/Order No. In Item 10		Ch - A J	- (hh	·	i-di J-44-)
B. The Above Numbered Contract/Orde Set Forth In Item 14, Pursuant To T			s (such as changes	in paying office, ap	opropriation data, etc.)
X C. This Supplemental Agreement Is Ent	ered Into Pursuant To Au	thority Of: MUTUAL AGREE	MENT OF THE PAR	TIES	
D. Other (Specify type of modification a	nd authority)				
E. IMPORTANT: Contractor is not,	X is required to sign	this document and return		copies to the Issuin	ng Office
14. Description Of Amendment/Modification (0	<u> </u>				8
•	Ç Ç		·		
SEE SECOND PAGE FOR DESCRIPTION					
Except as provided herein, all terms and conditions of the document referenced in item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.					
15A. Name And Title Of Signer (Type or print)		16A. Name And Ti	tle Of Contracting	Officer (Type or p	orint)
		M. J. FRANZEN FRANZENM@TACOM	ARMY.MIL (810)	574-6304	
15B. Contractor/Offeror	15C. Date Signed				16C. Date Signed
		Ву	/SIGNED/		2003DEC03
(Signature of person authorized to sign)	-		re of Contracting	Officer)	200305003

### Reference No. of Document Being Continued

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Name of Offeror or Contractor: CATERPILLAR INC.

SECTION A - SUPPLEMENTAL INFORMATION

- 1. This Modification  ${\tt P000003}$  is a Bi-Lateral Supplemental Agreement.
- 2. The purpose of this Modification is to change the following:
- a. Clause I-1 "DEUCE RETROFIT...", paragraph 5. The serial numbers for SBCT 1 and SBCT 2 are reversed. They are corrected as follows:
  - 12 vehicles at Ft. Lewis , WA parking brake upgrade only 6 each @18th En Co (SBCT 1) 7RR00092, 7RR00093, 7RR00094, 7RR00095, 7RR00096, 7RR00097
    - 6 each @ 73rd En Co (SBCT 2) 7RR00082, 7RR00083, 7RR00184, 7RR00185, 7RR00186, 7RR00187
  - b. Clause I-2 "Inspection", Paragraph I-2.3.:

Delete Ft. Leonard wood, 7RR0007.
Replace with Ft. Drum, NY, 7RR00012.

Delete Ft. Wainwright, AK, 7RR00229. Replace with Ft. Polk, LA, 7RR00068.

- c. Contract Data Requirements Lists (CDRLs):
- i. A001: Change block 10 "Frequency" from "ASREQ" to "QTRLY". Change block 16 to reflect quarterly submissions.
- ii. A002: Change block 10 "Frequency" from "ASREQ" to "QTRLY". Change block 3 to "In-Depth Inspection Report". Change block 16 to reflect quarterly submissions.
  - iii. A003: Change block 3 to "Fleet Assessment Report".
- 3. Clauses I-1, I-2 and CDRLs A001, A002 and A003, with the above changes, are incorporated by this Modification. The CDRLs are now dated 20 Oct 03 in block J.
- 4. The above changes are at mutual agreement between the Government and Contractor.
- 5. The Total Contract Amount remains unchanged at \$1,388,188.00.
- 6. The Contractor releases the Government from any further claim for equitable adjustment relating to the changes made by this modification.
- 7. All other terms and conditions remain unchanged and in full force and effect.

\*\*\* END OF NARRATIVE A 004 \*\*\*

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Name of Offeror or Contractor: CATERPILLAR INC.

SECTION I - CONTRACT CLAUSES

#### I-1 DEUCE RETROFIT OF EARLY WARNING SENSOR KITS, PARKING BRAKE UPGRADE AND MIDROLLER WITH IDLERS AND SIGHT GAUGE KIT

The contractor shall retrofit 180 previously fielded vehicles with the Early Warning Sensor kit. The Early Warning Sensor kit consists of a switch group (P/N 203-4834) and warning group (P/N 203-8495). The contractor shall install the parking brake upgrade (P/N 236-2575) in all 227 previously fielded vehicles. Of the 227 vehicles receiving the parking brake upgrade, 100 vehicles will receive new Electronic Programmable Transmission Control (EPTC) II transmission controllers, and 127 vehicles will receive refurbished EPTC II transmission controllers. Additionally, the contractor shall install the midroller with idlers and sight gauge kit upgrade (P/N 245-1745) in all 227 previously fielded vehicles. The upgrades shall be done at the same time on each applicable vehicle. The contractor shall transport all 227 vehicles from the unit to its nearest dealership to install the Early Warning Sensor and/or Parking Brake/Midroller with idlers and Sight Gauge Kit. The contractor shall coordinate the effort with the unit at each location. The government will make the DEUCEs available within reason. The locations and Point of Contact (POC) for each location are as follows:

1. 51 vehicles at Ft. Bragg, NC

6 each @ 307th En Bn

7RR00016, 7RR00017, 7RR00018, 7RR00019, 7RR00020, 7RR00021

13 each @ 618th En Co

7RR00041, 7RR00042, 7RR00043, 7RR00044, 7RR00045, 7RR00046, 7RR00047, 7RR00048, 7RR00049, 7RR00050, 7RR00051, 7RR00053, 7RR00054

16 each @ 27th En Co

7RR00022, 7RR00023, 7RR00024, 7RR00025, 7RR00026, 7RR00027, 7RR00029, 7RR00038, 7RR00052, 7RR00055, 7RR00056, 7RR00057, 7RR00058, 7RR00059, 7RR00060, 7RR00061

16 each @ 37th En Co

7RR00003, 7RR00005, 7RR00006, 7RR00028, 7RR00030, 7RR00031, 7RR00032, 7RR00033, 7RR00034, 7RR00035, 7RR00036, 7RR00037, 7RR00039, 7RR00040, 7RR00062, 7RR00063

POCs: CW5 Sookdeo (910) 396-3592 (20th En Bde) SookdeoS@bragg.army.mil

Dennis Gould (910) 396-7359 (TACOM LAR) dgould@larnet1.ria.army.mil

2. 6 vehicles at Ft. Drum, NY

6 each @ 41st En Bn 7RR00010, 7RR00011, 7RR00012, 7RR00013, 7RR00014, 7RR00015

POC: Robert Foley (315) 771-1399 (TACOM LAR) rfoley@larnet1.ria.army.mil

3. 13 vehicles at Ft. Campbell, KY

13 each @ 887th En Co

7RR00075, 7RR00076, 7RR00077, 7RR00078, 7RR00079, 7RR00080, 7RR00081, 7RR00101, 7RR00106, 7RR00108, 7RR00109, 7RR00110, 7RR00111

POCs: LT Frazier (270) 798-5999 (unit)

Stan Matheny (931) 624-0922 (TACOM LAR) smatheny@larnet1.ria.army.mil

4. 11 vehicles at Ft. Polk, LA

5 each @ JRTC

7RR00064, 7RR00066, 7RR00068, 7RR00069, 7RR00070

6 each @ 84th En Co

7RR00100, 7RR00102, 7RR00103, 7RR00104, 7RR00105, 7RR00107

POC: Emmett Davis (337) 207-4389 (TACOM LAR)

eodavis@larnet1.ria.army.mil

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Name of Offeror or Contractor: CATERPILLAR INC.

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5. 12 vehicles at Ft. Lewis , WA - parking brake upgrade only
6 each @18th En Co (SBCT 1)
7RR00092, 7RR00093, 7RR00094, 7RR00095, 7RR00096, 7RR00097
6 each @ 73rd En Co (SBCT 2)
7RR00082, 7RR00083, 7RR00184, 7RR00185, 7RR00186, 7RR00187
POCs: Ron Knapp (253) 967-9032 (Force Mod Officer)
knappr@lewis.army.mil
Kerry Harris (253) 606-4622 (TACOM LAR)
kharris@larnet2.ria.army.mil
6. 2 vehicles at Ft. Leonard Wood, MO
2 each @ 577th En Bn
7RR00004, 7RR00007
POC: Wayne Fulton (573) 774-0768 (TACOM LAR)
wfulton@larnet1.ria.army.mil
7. 6 vehicles at Virginia NGB (Fredericksburg, VA)
6 each @ 229th En Bn
7RR00065, 7RR00067, 7RR00071, 7RR00072, 7RR00073, 7RR00074
POC: SFC Long (540) 899-4155
longr@va.ngb.army.mil
8. 11 vehicles in Hawaii
6 each @ 65th En Bn
7RR00086, 7RR00087, 7RR00090, 7RR00091, 7RR00098, 7RR00099
1 each @65th En Bn (new float) - parking brake upgrade only
7RR00219
POC: David Royalty (808) 655-2337 (TACOM LAR)
droyalty@larnet1.ria.army.mil
4 each @ 227th En Co (NGB)
7RR00174, 7RR00175, 7RR00176, 7RR00177
POC: SSG Fukunaga (808) 733-4343 (unit)
Francine.fukunaga@hi.ngb.army.mil
9. 2 vehicles at Ft. Wainwright, AK
2 each @ 562nd En Co
7RR00088, 7RR00089
POC: Gary Grove (907) 322-2869 (TACOM LAR)
ggrove@larnet1.ria.army.mil
4 each @ SBCT 3 - parking brake upgrade only
7RR00228, 7RR00229, 7RR00230, 7RR00231
POC: David Allen (907) 384-2149
david.allen@richardson.army.mil
10. 28 vehicles at Ft. McCoy, WI
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15 each @ 367th En Bn (USAR-MN)

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Name of Offeror or Contractor: CATERPILLAR INC.

7RR00113, 7RR00114, 7RR00115, 7RR00116, 7RR00117, 7RR00118. 7RR00119, 7RR00120, 7RR00121, 7RR00122, 7RR00123, 7RR00124, 7RR00125, 7RR00126, 7RR00127

POCs: Jim Williams (608) 388-2009 james.williams2@usarc-emh2.army.mil

CW2 Rose (320) 251-7942 (unit) Ralph.Rose@usarc-emh2.army.mil

13 each @611th En Co (USAR-OH)

7RR00157, 7RR00158, 7RR00159, 7RR00160, 7RR00161, 7RR00162, 7RR00163, 7RR00164, 7RR00165, 7RR00166, 7RR00167, 7RR00168, 7RR00169

POC: Jim Williams (608) 388-2009 james.williams2@usarc-emh2.army.mil

11. 1 vehicle at Rock Island, IL

7RR00112

1 each @ RIA (on loan from USAR-MN for DEUCE Crew Protection Kit)

POC: Rick Lorenzen (309) 782-8621 rick.lorenzen@us.army.mil

12. 16 vehicles at Greenville, SC

16 each @ 391 En Bn (USAR-SC)

7RR00128, 7RR00129, 7RR00130, 7RR00131, 7RR00132, 7RR00133, 7RR00134, 7RR00135, 7R00136, 7RR00137, 7RR00138, 7RR00139, 7RR00140, 7RR00141, 7RR00142, 7RR00143

POCs: Robert Holmes (205) 792-1802

W01 Galimore (864( 299-4808 john.gallimore@sc.usar.army.mil

13. 13 vehicles at Ft. Dix, NJ

13 each @ 328th En Bn (USAR-NJ)

7RR00144, 7RR00145, 7RR00146, 7RR00147, 7RR00148, 7RR00149, 7RR00150, 7RR00151, 7RR00152, 7RR00153, 7RR00154, 7RR00155, 7RR00156

POC: MAJ Osterfeld (718) 352-5031 richard.osterfeld2@usarc-emh2.army.mil

14. 1 vehicle at TACOM, Warren, MI

1 each @ U.S. Army TACOM 7RR00183

POCs: Mike Sonnenberg (586) 574-7151 sonnenbm@tacom.army.mil

Nancy Voss (586) 574-7832 vossn@tacom.army.mil

15. 2 vehicles at Vicenza, Italy

2 each @ 1-508th IN 7RR00084, 7RR00085

1 each @ 1-508th (new float)
7RR00188

POCs: Gloria Hood-Duck 0-11-39-0444-517497 hoodd@setaf.vicenza.army.mil

Bruce Stevens (TACOM LAR) 0-11-49-175-2219-187

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Name of Offeror or Contractor: CATERPILLAR INC.

bstevens@larnet1.ria.army.mil

16. 5 vehicles at Sierra Army Depot, Herlong, CA

5 each @ Sierra Army Depot awaiting disposition instructions 7RR00178, 7RR00179, 7RR00180, 7RR00181, 7RR00182

POC: Demetrius Kimble (530) 827-5333 demetrius.f.kimble@us.army.mil

17. 4 vehicles at North Little Rock, AR

4 each @ 239th En Co (NGB SIB) - 1 requires parking brake upgrade only 7RR00193, 7RR00194, 7RR00196, 7RR00202

POC: MSG Hallmark (501) 212-4450 larry.hallmark@ar.ngb.army.mil

18. 4 vehicles at Clackamas, OR

4 each @ 162nd En Co (NGB SIB) - parking brake upgrade only  $7RR00198,\; 7RR00199,\; 7RR00200,\; 7RR00201$ 

POC: CW4 Baker (503) 557-5228 Gene.Baker@or.ngb.army.mil

19. 4 vehicles at Lawrenceville, IL

4 each @ 135th En Bn (NGB SIB) 7RR00189, 7RR00190, 7RR00191, 7RR00192

POC: SGT Bielecki (618) 943-2811 donald.bielecki@il.ngb.army.mil

20. 4 vehicles at Sparta, WI

4 each @ 32nd En Co (NGB SIB) - parking brake upgrade only 7RR00223, 7RR00225, 7RR00226, 7RR00227

POC: MAJ Kroner (608) 242-3520 Luanne.Kroner@wi.ngb.army.mil

21. 4 vehicles at Braggs, OK

4 each @ 245th En Co (NGB SIB) 7RR00170, 7RR00171, 7RR00172, 7RR00173

POC: CW4 Steward (918) 487-6031 StewartR@ok.ngb.army.mil

22. 6 vehicles at Annville, PA

6 each @ SBCT 6 - parking brake upgrade only 7RR00195, 7RR00216, 7RR00217, 7RR00218, 7RR00220, 7RR00224

POC: Dennis Speicher (717) 861-8619 dennis.speicher@pa.ngb.army.mil

23. 4 vehicles at Johnston, IA

4 each @ 834th En Co (NGB SIB) - parking brake upgrade only 7RR00214, 7RR00215, 7RR00221, 7RR00222

POC: CW3 Bender (515) 252-4554 Wesley.Bender@ia.ngb.army.mil

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Name of Offeror or Contractor: CATERPILLAR INC.

24. 4 vehicles at Lake City, FL

4 each @ 153rd En Co (NGB SIB) - parking brake upgrade only 7RR00210, 7RR00211, 7RR00212, 7RR00213

POCs: SSG Reddick (386) 758-0567 james.reddick@fl.ngb.army.mil

SFC Jones (386) 758-0565 lynward.jones@fl.ngb.army.mil

25. 4 vehicles at Edinburgh, IN

4 each @376th En Co (NGB SIB) - 3 require parking brake upgrade only 7RR00197, 7RR00203, 7RR00204, 7RR00205

POC: CW2 Sunderman (812) 526-1462 Dennis.Sunderman@in.ngb.army.mil

26. 4 vehicles at Ft. Buchanan in Puerto Rico

4 each @ 892nd En Co (NGB SIB) - parking brake upgrade only 7RR00206, 7RR00207, 7RR00208, 7RR00209

POC: CPT Ramirez (787) 277-7613 ramirezj@pr.ngb.army.mil

\*\*\* END OF NARRATIVE I 001 \*\*\*

#### I-2 <u>INSPECTION</u>

- I-2.1. When the DEUCE is made available for retrofit or upgrade, the contractor shall perform an inspection of the DEUCE to determine the current condition of the fielded vehicle. The purpose of the data collection is to provide both Caterpillar and the Government with information to assess the current fleet conditions and hardware. The information will be used as a partial post fielding assessment to look at current use and condition, and for evaluation of potential maintenance and training recommendations, possible system improvements, and possible trends.
- I-2.2. The contractor shall inspect all vehicles being retrofitted in accordance with the checklist at Attachment 1, which will provide sufficient information for assessment of noted deficiencies or area of concern on the vehicle. The contractor shall submit the filled-out checklist for each vehicle in accordance with ELIN A001.
- I-2.3. In addition to the above inspection, the contractor shall perform a more in-depth inspection on twenty vehicles identified below in accordance with Attachment 2. The contractor shall submit the filled-out checklist for each of the twenty vehicles in accordance with ELIN A002.

Location:	Serial number:
Ft. Bragg, NC	7RR00019
Ft. Bragg, NC	7RR00026
Ft. Bragg, NC	7RR00032
Ft. Bragg, NC	7RR00039
Ft. Bragg, NC	7RR00047
Ft. Bragg, NC	7RR00058
Ft. McCoy, WI	7RR00116
Ft. McCoy, WI	7RR00126
Ft. Campbell, KY	7RR00079
Ft. Campbell, KY	7RR00110
Ft. Drum, NY	7RR00012
Ft. Wainwright, AK	7RR00088
Ft. Polk, LA	7RR00068
Ft. Lewis, WA	7RR00092
Ft. Lewis, WA	7RR00094

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Name of Offeror or Contra	actor: CATERPILLAR INC.		
Ft. Lewis, WA	7RR00185		
Ft. Dix, NJ	7RR00146		
Ft. Dix, NJ	7RR00155		
Schofield Bks, HI	7RR00087		
Schofield Bks HT	7RR00099		

- I-2.4 Fleet Assessment Report. The contractor shall submit a report to summarize the data obtained from the individual vehicle inspections. The report will be in the contractors developed format and it will address the contractors perception for the fleets conditions, hardware and maintenance trends, location trends, South West Asia (SWA) data (if applicable), area of concerns, and recommendations. The contractor shall deliver the report in accordance with ELIN A003.
- I-2.5 Inspection Arrangements. The Contractor is responsible for coordination of the retrofit and inspection with the units. Reference I-1 for the location, POC, and serial number of the vehicles. The contractor shall informally notify the government via email of its projected schedule and any subsequent changes to it.
- I-2.6 If the individual unit requests any repairs to the vehicle based on the inspection report, the individual unit will have to authorize and pay for the repair.

\*\*\* END OF NARRATIVE I 002 \*\*\*

CONT	INITI	TION	SHEET
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Name of Offeror or Contractor: CATERPILLAR INC.

SECTION J - LIST OF ATTACHMENTS

List of			Number	
Addenda	Title	Date	of Pages	Transmitted By
Exhibit A	CDRL A001 - TECHNICAL REPORT-STUDY/SERVICES (INSPECTION	20-OCT-2003	001	EMAIL
	REPORT-PART )			
Exhibit B	CDRL A002 - TECHNICAL REPORT-STUDY/SERVICES (IN-DEPTH	20-OCT-2003	001	EMAIL
	INSPECTION REPORT)			
Exhibit C	CDRL A003 - TECHNICAL REPORT-STUDY/SERVICES (FLEET	20-OCT-2003	001	EMAIL
	ASSESSMENT REPORT)			

PHIN/SHIN DAAE07-03-C-T063
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ATT/EXH ID Exhibit A
PAGE 1

EXHIBIT A - CDRL - A001 (TECHNICAL REPORT-STUDY/SERVICES (INSPECTION REPORT)), IS NOT AVAILABLE WITHIN THIS ELECTRONIC CONTRACTUAL DOCUMENT. IT WILL BE PROVIDED VIA EMAIL WITH MODIFICATION P00003.

PHIN/SIIN DAAE07-03-C-T063
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ATT/EXH ID Exhibit B
PAGE 1

EXHIBIT B - CDRL - A002 (TECHNICAL REPORT-STUDY/SERVICES (IN-DEPTH INSPECTION REPORT)), IS NOT AVAILABLE WITHIN THIS ELECTRONIC CONTRACTUAL DOCUMENT. IT WILL BE PROVIDED VIA EMAIL WITH MODIFICATION P00003.

PHIN/SIIN DAAE07-03-C-T063
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ATT/EXH ID Exhibit C
PAGE 1

EXHIBIT C - CDRL - A003 (TECHNICAL REPORT-STUDY/SERVICES (FLEET ASSESSMENT REPORT)), IS NOT AVAILABLE WITHIN THIS ELECTRONIC CONTRACTUAL DOCUMENT. IT WILL BE PROVIDED VIA EMAIL WITH MODIFICATION P00003.